

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Yves Louis Gabriel AUDEBERT, et al.

Application No.:

09/844,246

Art Unit: 2182

Filed:

April 30, 2001

For:

METHOD AND SYSTEM FOR ESTABLISHING A REMOTE

CONNECTION TO A PERSONAL SECURITY DEVICE

NOTICE OF CO-PENDING RELATED APPLICATIONS PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents Washington, D. C. 20231

Sir:

Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:

- (1) 10/476,329;
- (2) 10/476,316;
- (3) 09/844,439;

(4) 09/844,272;

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- (5) 09/985,344;
- (6) 10/085,127; and
- (7) 10/476,416.

It is requested that the prior art references from each application be reviewed by the examiner and made of record in the present application. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making the art

currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,

Date: November 23, 2004

Facsimile: (202) 408-5200

James E. Ledbetter

Registration No. 28,732

JEL/ejw

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NOV 2 3 2004 55 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventors:

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09/844,246

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April 30, 2001

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METHOD AND SYSTEM FOR ESTABLISHING A REMOTE CONNECTION TO A PERSONAL SECURITY DEVICE

INFORMATION DISCLOSURE STATEMENT AND FEE UNDER 37 CFR §1.17(p)

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the art listed on the attached PTO Forms 1449. These Forms PTO 1449 bring to the attention of the Examiner the art cited in the applications listed in the Notice of Co-Pending Related Applications filed concurrently herewith.

Applicants present these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <u>Beckman Instruments</u>, <u>Inc. v. Chemtronics</u>, <u>Inc.</u>, 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

The fee of \$180.00 set forth in 37 CFR §1.17(p) is enclosed (Check No. 41332).

The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fee (or credit any overpayment) to Deposit Account No. 19-4375.

Respectfully submitted,

Date: November 23, 2004

James E. Ledbetter

Registration No. 28,732

JEL/ejw

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SERIAL NO. 09/844,246 ATTY. DOCKET NO. FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office INFORMATION DISCLOSURE 1 P STATEMENT BY APPLICANT L741.01101 APPLICANT
Yves Louis Gabriel AUDEBERT, et al. (Use several sheets if necessary) NOV 2 3 2004 : 5 **FILING DATE GROUP April 30, 2001** 2182 PATENT DOCUMENTS FILING DATE EXAMINER CLASS SUBCLASS DATE DOCUMENT NUMBER NAME FOREIGN PATENT DOCUMENTS COUNTRY SUBCLASS TRANSLATION DOCUMENT NUMBER DATE CLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) N. Itoi, et al., "Secure Internet Smartcards," CITI Technical Report 00-6, http://www.citi.umich.edu/projects/smartcard/, Center for Information Technology Integration, University of Michigan, Aug. 24, 2000.

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

EXAMINER

Our Ref. L741.01102

Serial No.: 09/844,439

Information Disclosure Statement of January 17, 2003

EXAMINER

DATE CONSIDERED

Our Ref. L741.01102

Serial No.: 09/844,439

Information Disclosure Statement of October 19, 2001

SERIAL NO. 09/844,246 ATTY. DOCKET NO. FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office L741.01101 INFORMATION DISCLOSURE STATEMENT BY APPLICANT NOV 2 3 2004 APPLICANT Yves Louis Gabriel AUDEBERT, et al. (Use several sheets if necessary) FILING DATE **GROUP** April 30, 2001 2182 PATENT DOCUMENTS **EXAMINER** DOCUMENT NUMBER IF APPROPRIATE DATE SUBCLASS NAME 6 3 1 1 10/2000 8 Gangi C 1 0 1 2 5 08/2000 **Thiriet** 6 0 0 5 9 2 12/1999 4 Chan et al. 5 1 9 9 4 0 7 11/1999 Murto FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** SUBCLASS DATE COUNTRY TRANSLATION ęs YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. Global Platform, Multi Application – Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1. ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange. ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1. ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.

EXAMINER DATE CONSIDERED

Posey, Brien, "Using Smart Cards with Windows 2000, "TechCrawler.com, September 28, 2000.

Our Ref. 741.01103 Serial No.: 09/844,272

Information Disclosure Statement of October 19, 2001

SERIAL NO. 09/844,246 FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office ATTY. DOCKET NO. INFORMATION DISCLOSUREO STATEMENT BY APPLICANT L741.01101 APPLICANT NOV 2 3 2004 (Use several sheets if necessary) Yves Louis Gabriel AUDEBERT, et al. FILING DATE **GROUP** April 30, 2001 2182 TRADES ATENT DOCUMENTS EXAMINER IF APPROPRIATE **DOCUMENT NUMBER** DATE CLASS SUBCLASS 10/2000 6 8 Gangi 08/2000 6 1 0 1 2 5 Thiriet 4 12/1999 6 0 0 5 9 4 2 Chan et al. 5 9 1 7 11/1999 Murto 0 FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** DATE SUBCLASS COUNTRY CLASS **TRANSLATION** YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. Global Platform, Multi Application – Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1. ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange. ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1. ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998. Posey, Brien, "Using Smart Cards with Windows 2000, "TechCrawler.com, September 28, 2000. **EXAMINER DATE CONSIDERED**

Our Ref. 741.01103 Serial No.: 09/844,272

Information Disclosure Statement of January 17, 2003

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Our Ref.: 741.01107

Serial No.: 09/985,344

Information Disclosure Statement of January 31, 2002

SERIAL NO. **09/844,246** FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office ATTY. DOCKET NO. L741.01101 INFORMATION DISCLOSUSTATEMENT BY APPLICA **APPLICANT** Yves Louis Gabriel AUDEBERT, et al. (Use several sheets if necessary) **FILING DATE GROUP** April 30, 2001 2182 ENTRURGO ATENT DOCUMENTS EXAMINER IF APPROPRIATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 08/2000 **Thiriet** 6 5 3 8 10/2000 Gangi 6 1 1 12/1999 Chan et al. 0 5 9 6 0 FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSLATION DOCUMENT NUMBER DATE COUNTRY YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. Global Platform, Multi Application - Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1. ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange. ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.

ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. Chen. Zhigun, "How to write a Java Card applet: a Developer's Guide", Javaworld, July 1999. Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998. Posey, Brien, "Using Smart Cards with Windows 2000, "TechCrawler.com, September 28, 2000.

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DATE CONSIDERED

EXAMINER

Our Ref. 741.01107 Serial No.: 09/985,344

Information Disclosure Statement of May 21, 2003

EXAMINER

DATE CONSIDERED

Our Ref. L741.02102 Serial No.: 10/085,127

Information Disclosure Statement of May 23, 2002

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US Patent Application Serial No. 09/844,439 entitled "Method And System For Authentication Through A Communications Pipe", filed on April 30, 2001 by Y. AUDEBERT, et al.

Our Ref. L741.02102

Serial No.: 10/085,127

Information Disclosure Statement of June 25, 2003

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office ATTY. DOCKET NO. L741.01101 INFORMATION DISCLOSIZED STATEMENT BY APPLICANT Yves Louis Gabriel AUDEBERT, et al. (Use several sheets if necessary)

SERIAL NO. **09/844,246**

FILING DATE April 30, 2001

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DATE CONSIDERED

EXAMINER

Our Ref. L741.03108

Serial No.: 10/476,329

Information Disclosure Statement of May 5, 2004

ATTY. DOCKET NO.

SERIAL NO. **09/844,246**

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

L741.01101

Yves Louis Gabriel AUDEBERT, et al.

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FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO. **09/844,246**

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE **April 30, 2001**

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		T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.													
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Serial No.: 10/476,316

Information Disclosure Statement of May 7, 2004

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO. 09/844,246

L741.01101

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE April 30, 2001

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SERIAL NO. 09/844,246

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE April 30, 2001

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Information Disclosure Statement of May 7, 2004

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